

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): Amontov et al.  
Docket No: CH920020037US1  
Serial No.: 10/539,726  
Filing Date: July 19, 2006  
Group: 1637  
Examiner: Angela Marie Bertagna

COPY

Title: Surface Treatment

---

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying PTO Form 1449. Pursuant to 37 CFR §1.97(e)(1), each of the identified references were cited in a Japanese Office Action dated September 1, 2009 (i.e., not more than three months prior to the filing of this Information Disclosure Statement).

**U.S. Patent**

1. 6,423,552

A copy of each listed item is enclosed.

**Foreign Documents**

2. PUPA 2001-519538
3. PUPA 2002-543847
4. PUPA H10-510158

**Other Documents**

5. Kane et al., "Patterning proteins and cells using soft lithography," Biomaterials 20, pgs. 2363-2376 (1999).

COPY

Attorney Docket No.: CH920020037US1  
Confirmation No. 8613

In the event of any non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or to credit **International Business Machines Corporation's Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability or that no other material information exists.

Respectfully submitted,



Michael J. Cooper

Attorney for Applicant(s)

Reg. No. 57,749

Ryan, Mason & Lewis, LLP

1300 Post Road, Suite 205

Fairfield, CT 06824

(203) 255-6560

Date: October 8, 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Amontov et al.  
Docket No.: CH920020037US1  
Serial No.: 10/539,726  
Filing Date: July 19, 2006  
Group: 1637  
Examiner: Angela Marie Bertagna

COPY

Title: Surface Treatment

---

CERTIFICATION STATEMENT

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

AND

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement. See 37 CFR 1.704(d).

Respectfully submitted,



Michael J. Cooper

Attorney for Applicant(s)

Reg. No. 57,749

Ryan, Mason & Lewis, LLP

1300 Post Road – Suite 205

Fairfield, CT 06824

(203) 255-6560

Date: October 8, 2009

COPY

### \*\*\* Information Materials for IDS \*\*\*

TO : YOR IP Law Docket Number CH920020037 Date 09/11/2009  
Prepared by Taisei Fukuda Date of JPO Office Action 09/01/2009

#### Applied Art

(The following reference(s) were cited by JPO Examiner as Prior art.)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs.or Counterpart Document Available (Y/N)
A	PUPA 2001-519538	10/23/2001	<input checked="" type="radio"/> Y <input type="radio"/> N
B	US6423552	07/23/2002	<input type="radio"/> Y <input checked="" type="radio"/> N
C			<input type="radio"/> Y <input checked="" type="radio"/> N
D			<input type="radio"/> Y <input checked="" type="radio"/> N
E			<input type="radio"/> Y <input checked="" type="radio"/> N
F			<input type="radio"/> Y <input checked="" type="radio"/> N
G			<input type="radio"/> Y <input checked="" type="radio"/> N
H			<input type="radio"/> Y <input checked="" type="radio"/> N

to be continued  Yes  No

<NOTE>

PUPA: Japanese Published Unexamined Patent Application

PUUMA: Japanese Published unexamined Utility Model Application

PEPA: Japanese Published Examined Patent Application

PEUMA: Japanese Published Examined Utility Model Application

JP: Japanese Patent

※: Reference being filed before and published after the priority application date of the subject docket

Background Art (The following reference(s) were cited but not applied to the JP claims)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA	Biomaterials, vol.20, pages 2363-2376	bgD	
bgB	PUPA 2002-543847	bgE	
bgC	PUPA H10-510158	bgF	

to be continued  Yes  No

Comment, if any: